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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yoichiro Sako et al.  
Serial No. : 09/940,710  
Filed : August 28, 2001  
For : DATA OUTPUT METHOD AND APPARATUS, DATA  
PLAYBACK METHOD AND APPARATUS, DATA  
RECORDING METHOD AND APPARATUS, AND DATA  
RECORDING AND PLAYBACK METHOD AND  
APPARATUS

Group A.U. : 3713

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Jay H. Maioli  
Reg. No. 27,213

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(c)

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure set forth in 37 CFR § 1.53 and in keeping with the guidance of 37 CFR 1.98, Applicants hereby submit information thought to be relevant to the examination of the above-identified

application, Also submitted herewith is a completed form PTO-1449.

This information was cited in a European Search Report dated October 6, 2003, and it is hereby certified that this disclosure is being made within three months of that date.

European Patent Application EP 0969463 A1, Kuroda, relates to an apparatus for reading and displaying recorded information from a recorded disk. The disk containing an indicator identifying the disk as able to be copied or not able to be copied. The apparatus respecting the distinction.

European Patent Application EP 0942418 A1, Miwa, relates to a system for embedding access management information into data to restrict access to the data to prevent illegal duplication of the data or similar functions.

European Patent Application EP 1014361 A2, Kori, et al., relates to a recording apparatus for recording data onto a medium while recording the number of times data has been copied onto the data being copied. Recording control functions are used to limit the number of times data can be copied.

European Patent Application EP 0994621 A2, Kori, et al., relates to a method and apparatus for storing a digital watermark in data so that signal conversion of the data can be restricted.

European Patent Application EP 1003167 A2, Ikeda, relates to a method and device for superimposing an electronic

watermark onto an image signal. When the image signal is recorded onto a medium, a second digital watermark is superimposed onto another section of the image signal to minimize deterioration to the image signal.

The article "The Ticket Concept for Copy Control Based on Embedded Signalling" written by J.P.M.G. Linnartz appearing in Proceedings of the European Symposium on Research in Computer Security (Esorics), Springer Verlag, Berlin, DE, September 16, 1998, relates to the use of electronic watermarks employing the "ticket concept" of copy and playback control.

Respectfully submitted,

COOPER & DUNHAM LLP

A handwritten signature in black ink, appearing to read "Jay H. Maioli", written over the printed name.

Jay H. Maioli  
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JHM:jbg  
Encl.

